



Docket No. IFF-40-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : BRAIN, et al

Serial No. : 10/720,572

Art Unit: 1743

Filed : November 24, 3003

Examiner: TO BE DETERMINED

For : ENCAPSULATED FRAGRANCE CHEMICALS

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope addressed  
to: Mail Stop DD, Commissioner for Patents,  
P.O. Box 1450, Alexandria, VA 22313-1450 on

May 6, 2004  
(Date of Deposit)

JOSEPH F. LEIGHTNER  
(Name of applicant, assignee, or Registered Representative)

*Joseph F. Leightner*  
(Signature)

May 6, 2004  
(Date of Signature)

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within

three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

- ☐ Certification in Accordance with §1.97(e) is set forth below; or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$240.00 as set forth in §1.17(p).

☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, therefore:

- ☐ Certification in Accordance with §1.97(e) is set forth below; or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$240.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Certification in Accordance with §1.97(e) as set forth below and the fee of \$130.00 as set forth in §1.17(i)(1).

☒ Copies of each of the references listed on the attached Form PTO-1449 with the exception of U.S. Patents and/or Patent Applications are enclosed herewith. Applicants respectfully submit that these references are not required inasmuch as this application is filed after June 30, 2003.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

☐ In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:

☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. , filed .


☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

☐ The relevance of those listed references which are not in the English language is as follows:

☐ There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 12-1295. This form is submitted in triplicate.

Respectfully submitted,

  
\_\_\_\_\_  
JOSEPH F. LEIGHTNER  
Reg. No. 34,209  
Attorney for Applicants


INTERNATIONAL FLAVORS & FRAGRANCES INC.  
521 West 57<sup>th</sup> Street  
Law Department - 10<sup>th</sup> Floor  
New York, New York 10019

Telephone: (212) 708-7103

FAX: (212) 708-7253

joseph.leightner@iff.com

Date: May 6, 2004

Form PTO-1449 <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> 	Docket No. IFF-40-2	Serial No. 10/720,572
	Applicant BRAIN, et al	
	Filing Date 11/24/03	Group Art Unit 1743

## U.S. PATENT DOCUMENTS

Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	2,800,457	7/23/57	Green, et al			
	3,041,288	6/26/62	Anthony			
	3,415,758	12/10/68	Powell, et al			
	3,505,432	1/28/66	Neuwald			
	3,516,846	6/23/70	Matson			
	3,516,941	6/23/70	Matson			
	3,686,025	8/22/72	Morton			
	3,861,870	1/21/75	Edwards, et al			
	3,870,542	3/11/75	Ida, et al			

## FOREIGN PATENT DOCUMENTS

Exam'r Init.	Document No.	Date	Country	Class	Sub Class	Translate (select one) Yes No	
	0 327 927	1/31/89	Europe				X
	0 376 385	12/29/89	Europe				
	0 672 409	5/21/97	Europe				
	0 737 183	9/26/01	Europe				
	1 061 124	12/20/00	Europe				
	95/18096	7/6/95	PCT				

## OTHER REFERENCES (include author, title, date, pertinent pages, etc.)

	Lochhead, et al, Encyclopedia of Polymers and Thickeners for Cosmetics, Cosmetics & Toiletries, Vol. 108, May 1993, pp. 95-138
	Kashikl, On a New Type of Flocculant, Ind.Eng.Chem.Fundam., 1986, 25, pp. 120-125
	Wurzburg, et al, Modified Starches: Properties and Uses, CRC Press, Inc., Chapter 3-Cross-Linked Starches, Chapter 8-Cationic Starches and Chapter 10-Grafted Starches
	Barton, CRC Handbook of Polymer-Liquid Interaction Parameters and Solubility Parameters, CRC Press, Part I, Introduction
	Gmehling, et al, Vapor-Liquid Equilibria by UNIFAC Group Contribution. Revision and Extension. 2, Ind.Eng.Chem.Process Des.Dev., 1982, 21, pp. 118-127
	Jacobson, Molecular Modeling Studies of Polymeric Transdermal Adhesives: Structure and Transport Mechanisms, Pharmaceutical Technology, September, 1999, pp. 120-

Examiner:	Date Considered
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## U.S. PATENT DOCUMENTS

Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	4,081,384	3/28/78	Pracht			
	4,082,223	4/4/78	Nozawa			
	4,124,521	11/7/78	Jedzinak			
	4,145,184	3/20/79	Brain, et al			
	4,209,417	1/24/80	Whyte			
	4,234,627	11/18/80	Schilling			
	4,247,498	1/27/81	Castro			
	4,318,818	3/9/82	Letton, et al			
	4,387,090	6/7/83	Bolich, Jr.			

## FOREIGN PATENT DOCUMENTS

Exam'r Init.	Document No.	Date	Country	Class	Sub Class	Translate (select one) Yes No	
	97/12022	4/3/97	PCT				
	97/12027	4/3/97	PCT				
	01/05358	1/25/01	PCT				X
	01/40430	6/7/01	PCT				
	01/49817	7/7/01	PCT				X
	01/62376	8/30/01	PCT				X

## OTHER REFERENCES (include author, title, date, pertinent pages, etc.)

		Lee, et al, Microencapsulation of Fragrant Oil via in situ polymerization of pH and melamine-formaldehyde molar ratio, J.Microencapsulation, 2002, Vol. 19, No. 5, pp. 559-569

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	4,395,541	7/26/83	Jacquet, et al			
	4,402,856	9/6/83	Schnoring, et al			
	4,424,134	1/3/84	Sissin, et al			
	4,428,869	1/31/84	Munteanu, et al			
	4,446,032	5/1/84	Munteanu, et al			
	4,446,042	5/1/84	Leslie			
	4,514,461	4/30/85	Woo			
	4,515,705	5/7/85	Moeddel			
	4,521,541	6/4/85	Rutherford, et al			

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Exam'r Init.	Document No.	Date	Country	Class	Sub Class	Translate (select one) Yes No	
	02/074430	9/26/02	PCT				
	02/085420	10/31/02	PCT				
	02/34225	5/2/02	PCT				
	02/34226	5/2/02	PCT				
	03/002699	1/9/03	PCT				

## OTHER REFERENCES (include author, title, date, pertinent pages, etc.)


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Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	4,534,891	8/13/85	Boden, et al			
	4,537,706	8/27/85	Severson, Jr.			
	4,537,707	8/27/85	Severson, Jr.			
	4,539,135	9/3/85	Ramachandran, et al			
	4,550,862	11/5/85	Barker, et al			
	4,561,998	12/31/85	Wertz, et al			
	4,597,898	7/1/86	Vander Meer			
	4,597,962	7/1/86	Grollier, et al			
	4,673,568	6/16/87	Grollier, et al			

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Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	4,681,806	7/21/87	Matkan, et al			
	4,705,681	11/10/87	Maes, et al			
	4,714,562	12/22/87	Roselle, et al			
	4,731,243	3/15/88	Lindauer, et al			
	4,767,547	8/30/88	Straathof, et al			
	4,819,835	4/11/89	Tasaki			
	4,830,855	5/16/89	Stewart			
	4,917,920	4/17/90	Ono, et al			
	4,961,871	10/9/90	Michael			

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	4,968,451	11/6/90	Scheibel, et al			
	4,973,422	11/27/90	Schmidt			
	5,066,419	11/19/91	Walley, et al			
	5,085,857	2/4/92	Reid, et al			
	5,112,688	5/12/92	Michael			
	5,137,646	8/11/92	Schmidt, et al			
	5,154,842	10/13/92	Walley, et al			
	5,160,655	11/3/92	Donker, et al			
	5,169,552	12/8/92	Wise			

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Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	5,188,753	2/23/93	Schmidt, et al			
	5,194,639	3/16/93	Connor, et al			
	5,232,769	8/3/93	Yamato, et al			
	5,237,035	8/17/93	O'Lenick, Jr., et al			
	5,275,755	1/4/94	Sebag, et al			
	5,288,417	2/22/94	Bauer, et al			
	5,288,431	2/22/94	Huber, et al			
	5,403,499	4/4/95	Kiefer, et al			
	5,411,671	5/2/95	Bauer, et al			

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	5,458,809	10/17/95	Fredj, et al			
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	5,460,752	10/24/95	Fredj, et al			
	5,466,802	11/14/95	Panandiker, et al			
	5,470,507	11/18/95	Fredj, et al			
	5,500,138	3/19/96	Bacon, et al			
	5,534,197	7/9/96	Scheibel, et al			
	5,540,853	7/30/96	Trinh, et al			
	5,545,340	8/13/96	Wahl, et al			

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	5,545,350	8/13/96	Baker, et al			
	5,559,261	9/24/96	Sivik			
	5,562,849	10/8/96	Wahl, et al			
	5,565,145	10/15/96	Watson, et al			
	5,574,179	11/12/96	Wahl, et al			
	5,581,005	12/3/96	Perkins			
	5,597,936	1/28/97	Perkins, et al			
	5,618,523	4/8/97	Zysman, et al			
	5,661,118	8/26/97	Cauwet, et al			

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Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	5,665,822	9/9/97	Bitler, et al			
	5,674,832	10/7/97	Keys			
	5,679,630	10/21/97	Baeck, et al			
	5,703,030	12/30/97	Perkins, et al			
	5,703,034	12/20/97	Offschack, et al			
	5,705,464	1/6/98	Scheper, et al			
	5,726,144	3/10/98	Dewez, et al			
	5,731,278	3/24/98	Nair, et al			
	5,756,436	5/26/98	Royce, et al			

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	5,759,990	6/2/98	Wahl, et al			
	5,776,443	7/7/98	Vinski, et al			
	5,776,883	7/7/98	Vasudevan			
	5,783,302	7/21/98	Bitler, et al			
	5,837,661	11/17/98	Evans, et al			
	5,849,313	12/15/98	Post, et al			
	5,877,145	3/2/99	Wahl, et al			
	5,902,781	5/11/99	Painter			
	5,914,307	6/22/99	DeNome, et al			

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	5,916,862	6/29/99	Morelli, et al			
	5,929,022	7/27/99	Velazquez			
	5,932,203	8/3/99	Coffindaffer, et al			
	5,935,561	8/10/99	Inman, et al			
	5,939,373	8/27/99	Haeggberg, et al			
	5,962,386	10/5/99	Scheper, et al			
	5,968,286	10/19/99	Crudele, et al			
	5,968,404	10/19/99	Trinh, et al			
	5,968,881	10/19/99	Haeggberg, et al			

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	5,990,065	11/23/99	Vinson, et al			
	6,001,343	12/14/99	Trinh, et al			
	6,017,871	1/25/00	Baeck, et al			
	6,020,294	2/1/00	Getty, et al			
	6,024,943	2/15/00	Ness, et al			
	6,057,404	5/2/00	Utecht, et al			
	6,071,122	5/30/00	Vinson, et al			
	6,071,569	6/6/00	Stambaugh			
	6,106,875	8/22/00	Soper, et al			

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	6,113,935	9/5/00	Rodson, et al			
	6,133,226	10/17/00	Knowlton, et al			
	6,146,621	11/14/00	Trinh, et al			
	6,162,423	12/19/00	Sebag, et al			
	6,180,594	1/30/01	Fender, et al			
	6,190,678	2/20/01	Hasenoehrl, et al			
	6,194,375	2/27/01	Ness, et al			
	6,200,554	3/13/01	Yeoh, et al			
	6,221,826	4/24/01	Surutzidis, et al			

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	6,255,367	7/3/01	Bitler, et al			
	6,261,483	7/17/01	Frank, et al			
	6,268,431	7/21/01	Snyder, et al			
	6,297,203	10/2/01	Guskey, et al			
	6,297,210	10/2/01	Hsu, et al			
	6,329,057	12/11/01	Dungworth, et al			
	6,335,315	1/1/02	Trinh, et al			
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	Applicant BRAIN, et al	
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	Applicant POPPLEWELL, et al	
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